



TENNESSEE DEPARTMENT OF FINANCIAL INSTITUTIONS

APPLICATION FOR NEW BRANCH OFFICE AND DESIGNATION OF BRANCH OFFICE MANAGER

Pursuant to Tenn. Code Ann. § 45-13-125(a), each mortgage lender or mortgage loan broker shall have a principal who operates the business, and each branch office shall have a manager who has sufficient experience to operate the business lawfully. Pursuant to Tenn. Code Ann. § 45-13-125(b), each lender or broker shall file a form as prescribed by the Commissioner indicating the business's designation of the manager for each branch. This form shall be filed when a lender or broker opens a new branch office in Tennessee, or when an existing branch office in Tennessee designates a new manager.

Please note: The licensee/registrant must have \$25,000 in tangible net worth for the headquarters of the firm PLUS an additional \$25,000 in tangible net worth for each branch.

NAME OF COMPANY: _____

COMPANY LICENSE OR REGISTRATION #: _____

IS BRANCH ALREADY LICENSED?: YES or NO IF YES, LICENSE #: _____

BRANCH ADDRESS: _____

TELEPHONE #: _____ FAX NUMBER: _____

BRANCH OFFICE MANAGER: _____

ANTICIPATED BRANCH OPEN DATE: _____

By my signature below, I accept the responsibility as Branch Office Manager to be in charge of the business operations and activities of the Tennessee based branch office of the above named Licensee or Registrant.

Signature of Branch Office Manager

Sworn and subscribed before me this _____ day of _____, _____.

Notary Public

My Commission Expires: _____

For NEW branch offices, only: By my signature below, I affirm that the Branch location listed above has the required \$25,000 in tangible net worth per Tenn. Code Ann. § 45-13-105(a)(2).

Signature of Managing Principal of Company

Sworn and subscribed before me this _____ day of _____, _____.

Notary Public

My Commission Expires: _____